

AlpCaps Financial Services – Trading and Investment Risks

Introduction

At AlpCaps, we specialize in providing innovative trading solutions and financial services to our global clients. As part of our commitment to transparency and client education, we ensure all investors understand the risks associated with trading and investing, particularly in more complex financial instruments. This document outlines the risks associated with certain investment products, and is designed to provide clear, concise, and important information for our clients before they proceed with any transactions.

Investment Products

AlpCaps provides access to a range of trading instruments and portfolio management services. Among these are products that may carry higher-than-usual risks, including hedge funds and alternative investment vehicles. Please review the following risk disclosures carefully before proceeding with your investment decisions.

Trading Hedge Funds and Other High-Risk Products

What Are Hedge Funds?

Hedge funds represent a range of investment structures, typically pooling collective capital from multiple investors to achieve high returns. These funds can employ various advanced strategies, such as:

Leverage

Short selling

Borrowing and lending

Complex financial instruments, including derivatives

While hedge funds are designed to generate substantial profits, they come with a range of risks that can lead to significant losses.

Risk Considerations for Hedge Fund Investments

Hedge funds can be subject to high volatility due to their use of leverage and complex financial strategies. Some key risks include:

High Leverage Risk: The use of borrowing and leverage can magnify both gains and losses. Even minor market fluctuations may cause substantial losses, potentially leading to a total loss of the initial investment.

Lack of Transparency: Detailed information on hedge fund strategies and financial performance may be difficult to obtain. Clients may not have full visibility into the risk profile or management decisions of the funds they invest in.

Limited Liquidity: Many hedge funds have restricted redemption terms, with limited opportunities to sell shares or units. These may include fixed holding periods and irregular distribution schedules, which could span several years.

Regulatory Uncertainty: Hedge funds are often domiciled in offshore jurisdictions with minimal regulatory oversight. Clients should be aware of the potential legal and operational risks involved, especially in enforcing claims or retrieving investments.

Market Risk: Due to the speculative nature of these funds, there is a risk that the market may not perform as expected, leading to significant losses, including a complete loss of invested capital.

Client Acknowledgement

By proceeding with hedge fund investments through AlpCaps, clients acknowledge the following:

Risk Disclosure: The client has read, understood, and accepted the risks associated with hedge fund investments as outlined above.

Sufficient Knowledge: The client confirms they have sufficient knowledge to make informed decisions about hedge fund trading.

Potential for Loss: The client acknowledges the potential for substantial financial loss, including the total loss of invested capital.

Compliance: The client agrees to comply with all relevant legal requirements and regulatory obligations when engaging in hedge fund investments

Declaration

I hereby declare that I have carefully reviewed the above risk information regarding hedge funds and associated financial products. I understand the potential risks, including the possibility of a total loss of my investment, and I am confident in my knowledge to proceed with these types of transactions.

First name/Name

Signature

Place/Date

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